

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO
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08/658,493 06/07/96 GADIOT

EXAMINER

G 124-519

07M1/1114

ART UNIT

PAPER NO

NIXON AND VANDERHYE
8TH FLOOR
1100 N GLEBE ROAD
ARLINGTON VA 22201-4714

NOT ASSIGNED

DATE MAILED:

2201

11/14/97

SECURITY ORDER

35 U.S.C. §§181-188

and

Permit for Disclosing Classified or "Classifiable" Subject Matter

The above-identified patent application contains subject matter which is either **classified** or **"classifiable"**¹ in the opinion of the sponsoring defense agency.

☒ This application is **CLASSIFIED** at the level of:

☐ TOP SECRET

☐ This application is **NOT CLASSIFIED**,
but would be **CLASSIFIABLE** at the level of:

☐ SECRET

DECLASSIFIED BY ORIGINATING AGENCY
CONFIDENTIAL

**ALL PRINCIPALS² IN THIS APPLICATION ARE ORDERED TO KEEP THE
SUBJECT MATTER THEREOF IN SECURITY UNDER 35 U.S.C. §181.**

The subject matter of this application may not be published or disclosed to any person except as specifically authorized herein or subsequently authorized by written modification of this Security Order granted by the Commissioner of Patents & Trademarks (see 37 CFR §5.5).

¹ The term "classifiable" as used herein refers to subject matter that is not actually classified but is sufficiently sensitive in the opinion of the sponsoring defense agency named herein, to have been classified under E.O. 12356 if the subject matter were known to be owned by or under control of the U.S. government.

² "Principals" include all patent applicants, their heirs, assignees, licensees and their attorneys and agents.

Unauthorized publication or disclosure of the subject matter of this patent application may result in abandonment of the application (35 U.S.C. §182) and additionally subject the person publishing or disclosing the subject matter to the penalties of 35 U.S.C. §186.

The subject matter of this application must be safeguarded under conditions that will provide adequate protection and prevent access by unauthorized persons. When copies of the subject matter are no longer needed, they should be destroyed by a method that will prevent disclosure of the contents or reconstruction of the document.

Any other patent application already or hereafter filed in the U.S. or any foreign country which contains any significant part of the subject matter of the above-identified patent application also falls within the scope of this Order. If such other patent application is not currently under a Secrecy Order imposed by the U.S. Patent and Trademark Office, it and the common subject matter must be brought to the immediate attention of:

Director, Group 2200
Attention: Licensing and Review
U.S. Patent and Trademark Office
Washington, D.C. 20231.

This Order should not be construed in any way to mean that the U.S. Government has adopted or contemplates adoption of the invention disclosed in this application and it is not any indication of the value of such invention. Additionally, this Order is not authority in and of itself for classification of the subject matter of this patent application.

This secrecy order has been recommended under 35 U.S.C. §181 to the Commissioner of Patents & Trademarks by the following sponsoring defense agency:

(☒) ARMY

(☐) NAVY

(☐) AIR FORCE

Questions regarding the reasons for imposing this Secrecy Order should be directed to the sponsoring defense agency. A contact for each defense agency is attached. Questions regarding the substantive examination of the patent application should be directed to the patent examiner, and questions pertaining to the administrative handling of the patent application or the Secrecy Order should be directed to the Licensing and Review Branch of the Patent & Trademark Office.

Permit for Disclosing Classified or "Classifiable" Information

A. If the subject matter of this application is classified:

Disclosure of the subject matter of this application is authorized to persons with the appropriate personnel security clearance on a "need-to-know" basis under the provisions of Executive Orders 10865 and 12356 and the "Industrial Security Manual for Safeguarding

Classified Information" provided the authorized person to whom the subject matter is disclosed is furnished with a copy of this Secrecy Order and informed that this Order is applicable to the subject matter disclosed.

Any declassification, in whole or in part, of the subject matter of this application does not modify this Secrecy Order. The requirements of this Secrecy Order remain in effect until it is rescinded or modified in writing by the Commissioner of Patents & Trademarks. If the subject matter is declassified, this fact should be brought to the attention of the sponsoring defense agency.

B. If the subject matter of this application is "classifiable" but not classified:

Principals to this Secrecy Order employed at a facility which has a current DoD Security Agreement (DoD Form 441) are authorized to disclose on a "need-to-know" basis the subject matter of this patent application to other persons at that facility having a personnel security clearance at least as high as the level of protection specified on page 1 of this Secrecy Order provided the provisions of the "Industrial Security Manual for Safeguarding Classified Information" (DoD 5220.22-M) are complied with³ and the authorized person to whom the subject matter is disclosed is furnished with a copy of this Secrecy Order and informed that this Secrecy Order is applicable to the subject matter disclosed.

Principals employed at a facility that does not have a current DoD Security Agreement must obtain prior written modification of this Secrecy Order from the Commissioner of Patents & Trademarks for any further disclosure of the subject matter of this application to any person. See 37 CFR §5.5.

This permit for disclosing classified or "classifiable" information does not authorize disclosure of the subject matter of the patent application through (1) the filing of any foreign application without specific permission of the Patent and Trademark Office, or (2) the export of any item, commodity or technical data without the required export license.


for Robert E. Garrett
Director, Special Laws Administration

³ Since the subject matter covered by this Secrecy Order is not actually classified, certain provisions of the Industrial Security Manual (ISM) may not strictly apply, such as derivative classification, downgrading/declassification instructions, and portion and page markings. Facilities encountering problems complying with any provisions of the ISM should directly query the defense agency sponsoring this Secrecy Order for appropriate security guidance.

DEFENSE AGENCY CONTACT LIST

ARMY:

Mr. Earl T. Reichert
U.S. Army, Patents, Copyrights
and Trademarks Division
Office of the Judge Advocate General
901 North Stuart St.
Arlington, VA 22203-1837
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NAVY:

Ms. Ina Griffin
Office of the Chief of Naval Research
Intellectual Property Law Division
Attn: (OCCC12)
800 N. Quincy Street
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(703) 696-4821

AIR FORCE:

Mr. Mervin Jordan
Department of Air Force, AF/JACP
1501 Wilson Blvd., Room 805
Arlington, VA 22209
(703) 696-9038



REPLY TO
ATTENTION OF

DEPARTMENT OF DEFENSE
ARMED SERVICES PATENT ADVISORY BOARD
OFFICE OF THE JUDGE ADVOCATE GENERAL
DEPARTMENT OF THE ARMY
901 N. STUART STREET
ARLINGTON, VA 22203-1837
November 7, 1997



Commissioner of Patents and Trademarks
U.S. Patent and Trademark Office
ATTN: Security Group, Licensing and Review
Washington, D.C. 20231

RECEIVED

NOV 13 1997

Dear Sir:

LICENSING & REVIEW

The following identified application has been examined by The United States Army, a member of the Armed Services Patent Advisory Board, under the provisions of 35 U.S.C. 181.

U.S. Patent Application Serial No. 08/658,493
Filed: 07 June 1997
Inventor(s): Guillaume M.H.J.L. Gadiot
Title: Long Range Artillery Shell

Prosecuted by: () Government, (x) Contractor, () Unknown Party

This is to notify you in accordance with the above examination that the publication or disclosure of the invention by the granting of a patent thereof would be detrimental to the national security. It is accordingly requested that you order that the invention be kept secret and withhold the grant of a patent for such period as the national interest requires.

It is further requested that the following Secrecy Order issue:

- () Secrecy Order and Permit for Foreign Filing in Certain Countries
ECCN Reference
ITAR Reference
- (x) Secrecy Order and Permit for Disclosing Classified Information
Level of Protection or Classification CONFIDENTIAL
- () Secrecy Order - It is further requested that this Secrecy Order issue with
 - () Permit A (PTO 299)
 - () No Permit

Sincerely
Diane R. Winter
Diane R. Winter
Member, ASPAB Organization, US Army

CF:
ASPAB